## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

H. William Harris, Jr., David R. Russell, Jacqueline Nearing

and Marlies Betka

Application No.:

10/626,115

Group:

3644

Filed:

July 24, 2003

Examiner:

Abbott, Yvonne Renee

PATENT APPLICATION DOCKET NO.: 2213.1004-012

Confirmation No.:

[ ] under 37 CFR 1.97(i):

(Filed after payment of issue fee)

9402

For:

METHODS FOR RAISING PRE-ADULT ANADROMOUS FISH

CERTIFICATE OF MAILING OR TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is

|                |  | June 16, 20<br>Mever  | obs V                | Signature Signing certificate              | ; on:  |
|----------------|--|---|----------------------|--|--|
|                | j  | SUPPLEMENTAL IN   | FORMATION            | I DISCLOSURE STAT                          | <u>EMENT</u>   |
| Comr<br>P.O. 1 | Stop Amendment<br>missioner for Pate<br>Box 1450<br>andria, VA 22313                   | ents  | -                    | 06/21/2005 HDEMESS1 00                     | 0000026 10626115<br>180.00 OP  |
| Sir:           |  |   | ·                    | VL 1 012000                                | 100100 07  |
| This           | under 37 CFR 1<br>(First/Second submission<br>under 37 CFR 1<br>(Within any one of the | on after Final Rejection) 1.97(b), or following time periods: three r | nonths of filing nat |  | CPA) or date of entry of the national stage<br>ovisional application, including a CPA, o |
|                | a Request for Continue   |   |                      |  |  |
| [X]            | under 37 CFR 1   | 1.97(c) together with   | either:              |  |  |
|                | []   | a Statement under 37  | CFR 1.97(e)          | , as checked below, o                      | r  |
|                |  | a \$180.00 fee under 37(b) time period, but before fina               | •                    | p), or<br>f allowance, whichever occurs fi | irst)  |
| []             | under 37 CFR 1   | 1.97(d) together with:  |                      |  |  |
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a \$180.00 fee under 37 CFR 1.17(p), or (Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)

Applicant requests that the IDS and cited reference(s) be placed in the application file.

| <u>Sta</u> | itei | men  | t Un                  | der 37 (                           | CFR 1.97(e)  |
|------------|------|--|-----------------------|------------------------------------|--|
| [ ]        | -    | Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or |                       |                                    |  |
|            | •    | con<br>kno<br>in t   | nmur<br>wled<br>he in | nication<br>lge of th<br>formation | from a foreign patent office in a counterpart foreign application, and, to the ne undersigned, after making reasonable inquiry, no item of information contained on disclosure statement was known to any individual designated in 37 CFR 1.56(c) months prior to the filing of this Information Disclosure Statement. |
| Sta        | itei | men  | t Un                  | der 37 (                           | (Patent Term Adjustment) Applies to original applications (other than design) filed on or after May 29, 2000   |
|            | ]    | co<br>w  | omm<br>as no          | unication<br>ot receiv             | nformation contained in the Information Disclosure Statement was cited in a on from a foreign patent office in a counterpart application and this communication yed by any individual designated in § 1.56(c) more than thirty days prior to the aformation Disclosure Statement.                                      |
| [ X        | []   | E  | nclos                 | sed here                           | with is form PTO-1449:   |
|            |      | [ ] Copies of the cited references are enclosed.   |                       |                                    |  |
|            |      |  |                       | [X]                                | Copies of issued U.S. patents and published U.S. applications are not required and are not being provided.   |
|            |      | [  | ]                     | Applic                             | s of the cited references are enclosed except those entered in prior application, U.S. eation No. [ ], to which priority under 35 U.S.C. 120 is claimed. [The earlier ation contains copies of the cited references.]  |
|            |      | [  | ]                     | The list                           | sted references were cited in the enclosed International Search Report in a expart foreign application.  |
|            |      | [  | ]                     | The "c                             | oncise explanation" requirement (non-English references) for reference(s) [ 37 CFR 1.98(a)(3) is satisfied by:   |
|            |      |  |                       | [ ]                                | the explanation provided on the attached sheet.  |
|            |      |  |                       | [ ]                                | the explanation provided in the Specification.   |
|            |      |  |                       | [ ]                                | submission of the enclosed International Search Report.  |
|            |      |  |                       | [ ]                                | submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.   |
|            |      |  |                       | [ ]                                | the enclosed English language abstract.  |

| [ ]                    | Applic              | ant requests that the following n  | on-published pending appli  | cations be considered:                                     |
|------------------------|---------------------|--|---|--|
| Examiner's<br>Initials |                     |  |   |  |
|                        |                     | U.S. Patent Application No. [  | ], by [inventor(s)], filed [  | ], Docket No.: [ ]   |
|                        |                     | U.S. Patent Application No. [  | ], by [inventor(s)], filed [  | ], Docket No.: [ ]   |
|                        |                     | U.S. Patent Application No. [  | ], by [inventor(s)], filed [  | ], Docket No.: [ ]   |
|                        |                     | Examiner   | Date  | _  |
|                        | [ ]                 | A copy of each above-cited app<br>any application filed on or after<br>Image File Wrapper (IFW) syst | June 30, 2003, which has t  | been scanned into the PTO's                                |
|                        | [ ]                 | A copy of each above-cited app<br>those entered in prior application<br>35 U.S.C. 120 is claimed.    | olication, including the curre<br>on, U.S. Application No. [  | ent claims, is enclosed, except ], to which priority under |
| The Ex                 | kaminer<br>ices wei | is requested to return a copy of<br>re considered with the next offic                                | the above list of pending ap e communication.   | plications indicating which                                |
| It is re               | quested             | that the information disclosed h   | erein be made of record in t  | his application.   |
| Metho                  | d of pay            | ment:  |   |  |
| [X]                    |                     | k for the fee noted above is encl<br>panying Reply. A copy of this S                                 |   | cluded in the check with the                               |
| []                     |                     | ease charge Deposit Account 08-0380 in the amount of \$[ ]. A copy of this Statement is aclosed.     |   |  |
| [X]                    | Please              | charge any deficiency in fees an   | d credit any overpayment to   | Deposit Account 08-0380.                                   |
|                        |                     | R  | Respectfully submitted,   |  |
|                        |                     | H  | IAMILTON, BROOK, SMI  | TH & REYNOLDS, P.C.  |
|                        |                     | E  | Kraig K. Anderson<br>Registration No.: 54,961<br>Telephone: (978) 341-0036<br>Facsimile: (978) 341-0136 | 5  |

Concord, MA 01742-9133
Dated: \( \text{Us} \, 2005 \)

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UPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT
IN AN APPLICATION

June 16, 2005

(Use several sheets if necessary)

ATTORNEY DOCKET NO. 2213.1004-012

APPLICATION NO. 10/626,115

FIRST NAMED INVENTOR H. William Harris, Jr.

FILING DATE
July 24, 2003

EXAMINER

Abbott, Yvonne Renee

CONFIRMATION NO. 9402

GROUP 3644

| TRI                           |             | U.S.  | . PATENT DOCUMENTS                             |  |
|-------------------------------|-------------|---|--|--|
| EXAM-<br>INER<br>INI-<br>TIAL | REF.<br>NO. | DOCUMENT NUMBER Number-Kind Code (if known) | ISSUE DATE /<br>PUBLICATION DATE<br>MM-DD-YYYY | NAME OF PATENTEE OR APPLICANT<br>OF CITED DOCUMENT |
|                               | AB2         | 6,463,882 B1                                | 10-15-2002                                     | Harris, Jr., et al.                                |
|                               | AC2         | 6,463,883 B1                                | 10-15-2002                                     | Harris, Jr., et al.                                |
|                               | AD2         | 6,475,792 B1                                | 11-05-2002                                     | Harris, Jr., et al.                                |
|                               | AE2         | 6,481,379 B1                                | 11-19-2002                                     | Harris, Jr., et al.                                |
|                               | AF2         | 6,564,747 B2                                | 05-20-2003                                     | Harris, Jr., et al.                                |
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